

## Appendix B-6

### SAMPLE EXPERT DISCLOSURE STATEMENT

#### A. Qualifications

1. Provide a copy of your most recent curriculum vitae, including:
  - (a) a description of your professional employment and experience (including the name of the employer, firm, or other group, and start and end date).
  - (b) list of professional licenses (including license number, issuing entity, and date of issuance).
  - (c) list of published works (including publisher, title, and date publication).

#### B. Insurance Related Work

1. For each of the past seven years, identify each insurance company, benefit plan, and third-party administrator for which you performed services on claims.<sup>1</sup> State for each year the total number of claims for which you performed services for each such entity.
2. For each of the past seven years, state the total compensation you received for performing work relating to claims. Of this total compensation, state:
  - (a) the amount you received (directly or indirectly) from or on behalf of insurance companies, benefits plans, third-party administrators, and their representatives (e.g., attorneys, vendors, procuring agents, groups, and other intermediaries).
  - (b) the amount you received from claimants and their representatives (e.g., their attorneys).
3. For each of the past seven years, state the percentage of your total income derived from performing work relating to claims under insurance policies and benefit plans. Of this percentage, state:
  - (a) the percentage attributable to services performed by or on behalf of an insurance company, benefits plan, third-party administrator, and their representatives.
  - (b) the percentage attributable to services for which the claimant and their representatives retained you.
4. For the past seven years, identify each vendor, procuring agent, group, or other intermediary involved in retaining you to perform claims-related services. State the number

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<sup>1</sup> As used herein, the term “claims” means a claim made for benefits under an insurance policy and/or a benefit plan, including without limitation any appeal of a denial of a claim for benefits.

of claims you performed services for each vendor, procuring agent, group, or other intermediary for each year.

5. For each of the past seven years, identify the number of claims for benefits under insurance policies and benefit plans for which you provided an opinion. Of these claims, state:
  - (a) the percentage in which you provided an opinion that supported full payment of all benefits sought by the claimant of such claim.
  - (b) the percentage in which you provided an opinion that supported complete denial of such a claim.
  - (c) the percentage in which you provided an opinion that supported partial denial of such a claim.
6. Identify all matters you provided (e.g., live testimony, deposition, declaration, or affidavit), including the jurisdiction, case title, case no., and the party retaining you.

### **C. Knowledge/Expertise**

1. Please provide a general description of your area(s) of expertise. For each area of knowledge, provide the following:
  - (a) Describe the fundamental principles and theories you apply to evaluate claims.
  - (b) Provide a list of source materials (including any peer-reviewed articles and studies) you rely upon to reach your opinions.
  - (c) Describe the methodologies you use to evaluate claims.
  - (d) Describe the tests you use to evaluate claims.
    - (i) Are the tests generally accepted in the expert community?
    - (ii) Are the test results independently verifiable?
    - (iii) Do the test results involve subjective interpretation?
2. Please provide three samples of your reports where you have been requested to provide your professional opinions (e.g., a claim investigation report or an independent medical examination). For each report, identify:
  - (a) each of your opinions relies upon experiential knowledge.
  - (b) each of your opinions is based on a subjective interpretation of principles, theories, tests, or facts.
  - (c) for each of your opinions, where there is a range of views in the scientific and professional community concerning matters subject to experiential knowledge or subjective interpretation of principles, theories, tests, or facts, summarize the

range of opinions.

3. Have you ever been subject to adverse action relating to your professional experience (e.g., your license was suspended or revoked)? If so, please describe the matter in detail (including the governing body that took such action and the date of such action).
4. Identify all matters in which you were a defendant and the subject matter of the case related to your professional experience.

**D. Other**

1. Describe all matters that could cause a person aware of the facts to reasonably entertain a doubt that you would be able to be impartial, including without limitation if you have a current arrangement concerning prospective employment or other compensated service to evaluate claims for benefits under insurance policies and benefit plans.

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